

# FINANCIAL SERVICES GUIDE

Stuart Roberts trading as NDF Research

ABN 11 209 563 517

Corporate Authorised Representative, number 1245466

of

BR SECURITIES AUSTRALIA PTY LTD

ABN 92 168 734 530

AFSL 456663

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## About Us

BR Securities Australia Pty Ltd (BR) is the holder of Australian Financial Services License ("AFSL") number 456663. NDF Research (NDF) is an Authorised Representative (number 1245466) of BR.

This Financial Service Guide (FSG) is designed to assist you in deciding whether to use NDF's services and includes such things as

- who we are
- our services
- how we transact with you
- how we are paid, and
- complaint processes

Contact Details, BR and NDF

BR Head Office: Level 14, 344 Queen St., Brisbane QLD, 4000.

NDF 25 Vidal Street, Wetherill Park, NSW, 2164.

M: Stuart Roberts 0447 247 909

E: [stuart@ndfresearch.com](mailto:stuart@ndfresearch.com)

NDF is the entity providing the authorised AFSL services to you as a retail or wholesale client.

## What Financial Services are we authorised to provide?

NDF is authorised to

- provide general advice to retail and wholesale clients in relation to
  - Securities
- arrange deals for retail and wholesale clients in relation to
  - Securities

The distribution of this FSG by NDF is authorized by BR.

## Our general advice service

Please note that any advice given by NDF is general advice, as the information or advice given will not take into account your particular objectives, financial situation or needs. You should, before acting on the advice, consider the appropriateness of the advice, having regard to your objectives, financial situation and needs. If our advice relates to the acquisition, or possible acquisition, of a particular financial product you should read any relevant Prospectus, Product Disclosure Statement or like instrument. As we only provide general advice we will not be providing a Statement of Advice.

We will provide you with recommendations on securities

## How are we paid?

NDF earns a fee from companies for providing a research report on the company, you don't pay anything..

## Associations and Relationships

BR, NDF, and related parties have no associations or relationships with any product issuers other than when advising retail clients to invest in managed funds when the managers of these funds may also be clients of BR and NDF's representatives may from time to time deal in or otherwise have a financial interest in financial products recommended to you but any material ownership will be disclosed to you when relevant advice is provided.

## Complaints

If you have a complaint about our service you should contact your representative and tell them about your complaint. The representative will follow BR's internal dispute resolution policy, which includes sending you a copy of the policy when required to. If you aren't satisfied with an outcome, you may contact AFCA, see below.

BR is a member of the Australian Financial Complaints Authority (AFCA). AFCA provide fair and independent financial services complaint resolution that is free to consumers.

Website: [www.afca.org.au](http://www.afca.org.au)

Email: [info@afca.org.au](mailto:info@afca.org.au)

Telephone: 1800931678 (free call)

In writing to: Australian Financial Complaints Authority, GPO Box 3, Melbourne, VIC, 3001.

## Professional Indemnity Insurance

BR has in place Professional Indemnity Insurance which satisfies the requirements for compensation under s912B of the Corporations Act and that covers our authorized representatives.